

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application Serial NoFiling Date	10/044,289
Filing Date	Jan 11, 2002
Inventor	Sokolic et al.
Applicant	CashEdge, Inc.
Group Art Unit	2131
Examiner	REVAK, CHRISTOPHER A
Attorney's Docket No.	CE1-007US

Title: Multiple Trust Modes for Handling Data

RECEIVED

INFORMATION DISCLOSURE STATEMENT AND CERTIFICATION UNDER 37 CFR 1.97(e)

MAY 1 9 2003

Technology Center 2100

The citations listed, copies attached, may be material to the examination of the subject application and are therefore submitted in compliance with the duty of disclosure defined in 37 CFR §1.56. The Examiner is requested to make these citations of official record in this application.

I hereby certify that to my knowledge, after reasonable inquiry, that each item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the statement.

Furthermore, each item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart application and this communication was not received by any individual designated in §1.56(c) more than thirty days prior to the filing of the information disclosure statement.

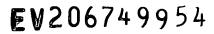
Respectfully Submitted,

Date: 5 - 14 - 2003

Steven R. Sponseller

Reg. No. 39,384

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Substitute for form 1449A/PTO

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

Sheet 1 of 2

Co	omplete if Known
Application Number	10/044,289
Filing Date	Jan 11, 2002
First Named Inventor	Sokolic
Group Art Unit	2131
Examiner Name	REVAK, CHRISTOPHER A
Attorney Docket Number	CE1-007US

				U.S. PATENT DOCU	JMENTS		
Examiner Initials*	Cite No.1	I Number .	cument ind Code ² if known)	Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	
		2002/0010768		Marks et al.	01-24-2002		
		6,321,334		Jerger et al.	11-20-2001	RECEIN	ED
		6,108,788		Moses et al.	08-22-2000	TIEULIV	
j		5,481,720		Loucks et al.	01-02-1996	88834 1 0	000
		5,787,427		Benantar et al.	07-28-1998	MAY 1 9 1	003
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Initials	No.1	Office ³	Number⁴	Kind Code ⁵ (if known)	Applicant of Cited Document	Cited Document MM-DD-YYYY	Passages or Relevant Figures Appear	Т6
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Examiner	Date	
Signature	 Considered	

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^{*}EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ Unique citation designation number. ² See attached Kinds of U.S. Patent Documents. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.

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1					Application Number	10/044,289	
	INF	ORMATIO	NC	ISCLOSURE	Filing Date	Jan 11, 2002	
	STA	TEMENT	RY	APPLICANT	First Named Inventor	Sokolic	
	017			/	Group Art Unit	2131	
		(use as many	sheet	ts as necessary)	Examiner Name	REVAK, CHRISTOPHER A	
	Sheet	2	of	2	Attorney Docket Number	CE1-007US	7

		NON PATENT LITERATURE DOCUMENTS		
Examiner Initials*	Cite No.1	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T²	
		BRUCE SCHNEIER, "Applied Cryptography: Protocols, Algorithms, and Cource Code in C", 1996, 6 pages.	Ι.,	L_
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Signature Considered

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¹ Unique citation designation number. ² Applicant is to place a check mark here if English language Translation is attached.



2131 #7 5p 5-29-03

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PTO/SB/21 (08-00)
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		Application Number	10/044,289
TRANSM	ITTAL	Filing Date	Jan 11, 2002
FOR	M	First Named Inventor	Sokolic MAY 1 9 2003
(to be used for all corresponde	nce after initial filing)	Group Art Unit	2131 Technology Center 2100
		Examiner Name	REVAK, CHRISTOPHER A
Total Number of Pages in Thi	s Submission	Attorney Docket Number	CE1-007US
	ENCL	OSURES (check	all that apply)
Fee Transmittal Form Fee Attached Amendment / Reply After Final Affidavits/declaration(s) Extension of Time Request Express Abandonment Request Information Disclosure Statement Certified Copy of Priority Document(s) Response to Missing Parts/ Incomplete Application Response to Missing Parts under 37 CFR 1.52 or 1.53	Drawing Licensin Petition Provisic Change Address Termina Reques	to Convert to a constant of Attorney, Revocation of Correspondence al Disclaimer st for Refund	After Allowance Communication to Group Appeal Communication to Board of Appeals and Interferences Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) Proprietary Information Status Letter Other Enclosure(s) (please identify below): PTO Form 1449(2 pgs); 6 cited references; return postcard
SIG	NATURE OF APPLI	CANT, ATTORNEY, OR A	AGENT
Firm or Individual name Steven R. S	ponseller, Reg. No. 3	9,384	
Signature	Jonsel	l_	
Date 5-/	4-2003		
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I hereby certify that the items listed above as a The Commissioner of Patents , Alexandria, VA			as Express Mall/in an Invelope addressed to o. has also been market of the listed items.
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EE TRANSMITTAL for FY 2003

(\$) 0.00

Effective 01/01/2003. Patent fees are subject to annual revision.

Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT

Co	omplete if Known
Application Number	10/044,289
Filing Date	Jan 11, 2002
First Named Inventor	Sokolic
Examiner Name	REVAK, CHRISTOPHER RECEIVE
Art Unit	2131 MAY 1 9 2003
Attorney Docket No.	CE1-007US

METHOD OF PAYMENT (check all that apply	y)				FEI	E CALCULATION (continued) echno	ology Center
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Account Name Lee & Hayes, PLLC		1052	50	2052	25	Surcharge - late provisional filing fee or cover sheet	
The Commissioner is authorized to: (check all that apply)		1053	130	1053		Non-English specification	 [
Charge fee(s) indicated below Credit any overpay	yments	1812	2,520	1812	2,520	For filing a request for ex parte reexamination	└── ─ ┤┃
Charge any additional fee(s) during the pendency of this approximately	pplication	1804	920*	1804	920*	Requesting publication of SIR prior to Examiner action	
Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account.		1805	1,840*	1805	1,840*	Requesting publication of SIR after Examiner action	
		1251	110	2251	55	Extension for reply within first month	
FEE CALCULATION		1252	410	2252	205	Extension for reply within second month	
1. BASIC FILING FEE Large Entity Small Entity		1253	930	2253	465	Extension for reply within third month	
Fee Fee Fee Fee Description Fee	Paid	1254		2254	725	Extension for reply within fourth month	
Code (\$) Code (\$) 1001 750 2001 375 Utility filing fee		1255	-	2255	985	Extension for reply within fifth month	
1002 330 2002 165 Design filing fee		1401	320	2401	160	Notice of Appeal	
1003 520 2003 260 Plant filing fee		1402	320	2402		Filing a brief in support of an appeal	
		1403	280	2403		Request for oral hearing	
<u> </u>	 -i [1.510			Petition to institute a public use proceeding	
1005 160 2005 80 Provisional filing fee			•	2452		, , ,	
SUBTOTAL (1) (\$)	0.00	1452	110			Petition to revive - unavoidable	
2. EXTRA CLAIM FEES FOR UTILITY AND RE	ISSUE	1453	•	2453		Petition to revive - unintentional	
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		1807	50	1807	7 50	Processing fee under 37 CFR 1.17(q)	
Large Entity Small Entity Fee Fee Fee Fee Fee Description		1806	180	1806		Submission of Information Disclosure Stmt	
Code (\$) Code (\$)		8021	40	802 ⁻	1 40	Recording each patent assignment per property (times number of properties)	
1202 18 2202 9 Claims in excess of 20 1201 84 2201 42 Independent claims in excess	s of 3	1809	750	2809	375	Filing a submission after final rejection (37 CFR 1.129(a))	
1203 280 2203 140 Multiple dependent claim, if n		1810	750	2810	375	For each additional invention to be examined (37 CFR 1.129(b))	
1204 84 2204 42 ** Reissue independent claim over original patent	ns	1801	750	2801	375	Request for Continued Examination (RCE)	
1205 18 2205 9 ** Reissue claims in excess of and over original patent	of 20	1802	900	1802	900	Request for expedited examination of a design application	
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SUBTOTAL (2) (\$) **or number previously paid, if greater; For Reissues, see a		*Redu	ced by	Basic I	Filing F	ee Paid SUBTOTAL (3) (\$)	0.00

SUBMITTED BY (Complete (if applicable) Registration No. 39,384 Telephone (509) 324-9256 Name (Print/Type) Steven R. Sponseller (Attorney/Agent) zonselle 5-14-2003 Signature

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This collection of information is required by 37 CFR 1.17 and 1.27. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS.